

15541 U.S. PTO  
08/22/03

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Docket No. KLAC0076  
Date: August 22, 2003  
Express Mail No. EU 490 684 275 US

03945 U.S. PTO  
10/646073  
08/22/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING APPLICATION

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: **David R. Shafer, Yung-Ho Chuang, and J. Joseph Armstrong**

For: **CATADIOPTIC IMAGING SYSTEM FOR BROAD BAND MICROSCOPY**

Enclosed are:

- ☒ Original Patent Application (56 pages of specification; 66 claims; 1 pages of abstract)
- ☒ 12 sheets of drawings (☐ formal ☒ informal)
- ☒ Unexecuted Declaration and Power of Attorney
- ☐ An assignment of the invention to KLA-Tencor Technologies Corporation.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- ☐ Certified Copy of Patent Application No. \_\_\_\_\_ filed from which priority is claimed under 35 USC 119.

☐ IDS enclosed (☐ with references).

☒ Nonpublication Request

☒ Return Postcard

**Priority**

☒ **DOMESTIC/INTERNATIONAL** priority is claimed under 35 U.S.C. 199(e)/120/365(c) based on the following provisional, nonprovisional, and/or PCT international applications:

	Application Number	Filing Date
(1)	10/434,374	May 7, 2003
(2)	60/449,326	February 21, 2003

☐ **FOREIGN** priority is claimed under 35 U.S.C. 119(a)-(d)/365(b) based on filing in:

	Application Number	Filing Date
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X The filing fee based is calculated below:

Item	No. Filed	No. Extra	Small Entity Rate	Large Entity Rate	
Total	66	-20	46	x \$9	x \$18 \$828
Independent	5	-3	2	x \$42	x \$84 \$168
Basic Fee	Small Entity: \$375.00 Large Entity: \$750.00				\$750
<u>Multiple Dependent claims</u>			+ \$130	+ \$260	\$0
<b>TOTAL FEE DUE</b>					<b>\$1746.</b>

List Independent Claims: 1, 24, 43, 55, 65

— Please charge my Deposit Account No. 502026 the amount of \$0. A copy of this letter is enclosed.

— A check in the amount of \$0 to cover the filing fee is enclosed.

— A check in the amount of \$0 to cover the Assignment Recordation Fee is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees or credit any overpayment during the pendency of this application to Deposit Account 502026.

— Any additional patent application processing fees under 37 CFR 1.17 beyond those provided.

— The Issue Fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance pursuant to 37 CFR 1.311(b)

— Any filing fees under 37 CFR 1.16 for presentation of extra claims beyond those provided.

U.S. Express Mail Mailing Label EU 490 684 275 US  
Shafer et al.

Atty. Docket KLAC0076  
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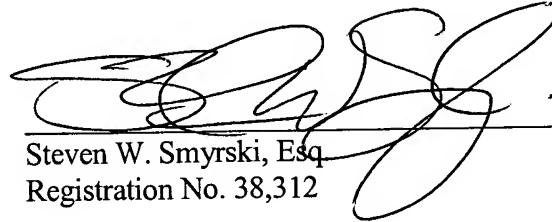
X Address all future communications to:

Steven W. Smyrski, Esq.  
Smyrski & Livesay, LLP  
3310 Airport Avenue, SW  
Santa Monica, CA 90405-6118

PTO Customer No. 30438

Respectfully submitted,

Date: August 22, 2003



Steven W. Smyrski, Esq.  
Registration No. 38,312

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3310 Airport Avenue, SW  
Santa Monica, California 90405-6118  
Phone: 310.397.9118  
Fax: 310.397.9158

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

DAVID R. SHAFER, ET AL.

Title: CATADIOPTRIC IMAGING SYSTEM FOR  
BROAD BAND MICROSCOPY

Serial No.: Unassigned

Filed: Concurrently

Group Art Unit: Unassigned

Examiner: Unassigned

**NONPUBLICATION REQUEST UNDER RULE 213(a)**

The attached new application is **NOT TO BE PUBLISHED** under 35 U.S.C. § 122(b).

I hereby certify that the invention disclosed in the attached new application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, requiring publication at eighteen months after filing.


This request is signed in compliance with the provisions of Rule 33(b).

Respectfully Submitted

SMYRSKI & LIVESAY, LLP

By Atty: Steven W. Smyrski

Reg. No. 38,312

Signature: 

Date: 8/22/03

Tel: 310.397.9118

Fax: 310.397.9158

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
DAVID R. SHAFER, ET AL.  
Title: CATADIOPTRIC IMAGING SYSTEM FOR  
BROAD BAND MICROSCOPY  
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Date of Deposit: August 22, 2003

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Dear Sir:

I hereby certify that the following:

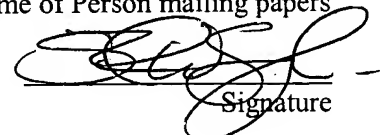
- X Request for Filing
- Check in the amount of \$0 as a filing fee
- X Patent application (56 pages of specification; 66 claims; 1 pages of abstract)
- X 12 sheets of informal drawings
- X Unexecuted Declaration and Power of Attorney
- X Nonpublication Request
- X Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and addressed to:

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AUGUST 22, 2003  
Date of Deposit

Steven W. Smyrski  
Name of Person mailing papers

  
Signature